

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Application No.:	10/574,662	Confirm. No.:	7643
Filing Date:	November 22, 2006	Examiner:	Carol KOSLOW
First Inventor:	Thomas GIERING	Art Unit:	1793
Attorney No.:	GIER3004/JJC/PMB	Customer No.:	23364
For:	CODING SYSTEM FOR VALUE DOCUMENTS		

**REQUEST FOR CORRECTED FILING RECEIPT
(PTO Error)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Correction of the Filing Receipt for the above-identified application is requested.

The Filing Receipt issued in this application erroneously indicates the German priority application no. as "102-46-685.1". The correct application no. for the German application is "103-46-685.1

A copy of the Declaration and the Application Data Sheet that accompanied the original application papers is submitted herewith as evidence of the correct foreign priority for the above-identified application.

Please issue and transmit to Applicant's attorney a corrected Filing Receipt.

Please charge any additional fees required or credit any overpayments in connection with this paper to Deposit Account No. 02-0200.

Application No.: 10/574,662
Art Unit: 1793

If any issues remain that may be resolved by a telephone or facsimile communication with the applicants' attorney, the examiner is invited to contact the undersigned at the numbers shown below.

BACON & THOMAS, PLLC
625 Slaters Lane, Fourth Floor
Alexandria, Virginia 22314-1176
Phone: (703) 683-0500
Facsimile: (703) 683-1080

Date: June 3, 2010

Respectfully submitted,

/Patrick M. Buechner/

PATRICK M. BUECHNER
Attorney for Applicants
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/574,662	11/22/2006	2879	1280	GIER3004/JEK	1	25	1

CONFIRMATION NO. 7643

23364
BACON & THOMAS, PLLC
625 SLATERS LANE
FOURTH FLOOR
ALEXANDRIA, VA 22314

FILING RECEIPT

Date Mailed: 12/05/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas Giering, Kirchseeon, GERMANY;
Gerhard Scwenk, Puhheim, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 23364

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/11131 10/05/2004

Foreign Applications

GERMANY 402-46-685.1 10/08/2003

103

If Required, Foreign Filing License Granted: 12/04/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is
US10/574,662

Projected Publication Date: 03/15/2007

Non-Publication Request: No

Early Publication Request: No

Title

Coding system for value documents

Preliminary Class

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled:

CODING SYSTEM FOR VALUE DOCUMENTS

the specification of which (check one):

☐ is attached hereto, or ☒ was filed on: **October 5, 2004** as U.S. Application Number or PCT International Application Number:

PCT/EP2004/011131 and (if applicable) was amended on:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, §1.56*. I hereby claim foreign priority benefits under *Title 35, United States Code §119* of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No
103 46 685.1	GERMANY	08/10/2003	X	

☐ Additional Priority Application(s) Listed on Following Page(s)

I HEREBY CLAIM THE BENEFIT UNDER TITLE 35 U.S. CODE §119(E) OF ANY U.S. PROVISIONAL APPLICATIONS LISTED BELOW.

Application Number	Day/Month/Year Filed

☐ Additional Provisional Application(s) Listed on Following Page(s)

I hereby claim the benefit under *Title 35, United States Code, §120* of any United States application(s) or PCT international application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35, United States Code, §112*, I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, §1.56* which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Number	Filing Date	Status - Patented, Pending or Abandoned

☐ Additional US/PCT Priority Application(s) listed on Following Page(s)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under *section 1001 of title 18 of the United States Code* and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Eric S. Spector, Reg. No. 22,495; Felix J. D'Ambrosio, Reg. No. 25,721; George A. Loud, Reg. No. 25,814; Benjamin E. Urcia, Reg. No. 33,805; and Justin J. Cassell, Reg. No. 46,205

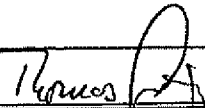
I (we) authorize my (our) attorneys to accept and follow instructions from _____ regarding any matter related to the preparation, examination, grant and maintenance of this application, any continuation, continuation-in-part or divisional based thereon, and any patent resulting therefrom, until I (we) or my (our) assigns withdraw this authorization in writing.

Send correspondence to

Customer 23364

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FULL NAME OF FIRST OR SOLE INVENTOR Thomas GIERING	CITIZENSHIP GERMANY
RESIDENCE ADDRESS Am Kleinfeld 12 85614 Kirchseeon, GERMANY	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE 06.06.2006	SIGNATURE 

☒ See following page(s) for additional joint inventors.

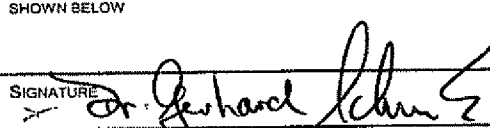
CONTINUATION OF DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

Page 2

PRIOR FOREIGN APPLICATION(S) (35 USC §119)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No

PRIOR PROVISIONAL APPLICATIONS 35 U.S. CODE §119(E)	
Application Number	Day/Month/Year Filed

PRIOR U.S. OR PCT INTERNATIONAL APPLICATIONS (35 U.S. CODE §120)		
Application Number	Filing Date	Status - Patented, Pending or Abandoned

FULL NAME OF JOINT INVENTOR Gerhard SCHWENK	CITIZENSHIP GERMANY
RESIDENCE ADDRESS Prinzelstrasse 106 82178 Puchheim, GERMANY	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE 22.06.2006	SIGNATURE 

FULL NAME OF JOINT INVENTOR	CITIZENSHIP
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DATE	SIGNATURE

FULL NAME OF JOINT INVENTOR	CITIZENSHIP
RESIDENCE ADDRESS	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE	SIGNATURE

FULL NAME OF JOINT INVENTOR	CITIZENSHIP
RESIDENCE ADDRESS	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE	SIGNATURE

☐ See following pages for additional joint inventors/priority applications.

10/574662

IAP20 Rec'd PCT/PI0 04 APR 2006

Application Data Sheet
Application Information

Application type:: Regular
Subject matter::
CD-ROM or CD-R:: None
Number of CD disks:: 0
Number of copies of CDs:: 0
Sequence submission?:: No
Computer readable form (CRF)?:: No
Number of copies of CRF:: 0
Title:: CODING SYSTEM FOR VALUE
DOCUMENTS
Attorney docket number:: GIER3004/JEK
Request for early publication?:: No
Request for non-publication?:: No
Suggested drawing figure::
Total drawing sheets:: 1
Small entity?:: No

Applicant Information

Applicant authority type:: Inventor
Primary citizenship country:: GERMANY
Status: Full capacity
Given name:: Thomas
Middle name::
Family name:: GIERING
Name suffix::
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State or province of residence::
Country of residence:: GERMANY
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City of mailing address:: Kirchseeon

State or province of mailing
address::

Country of mailing address:: GERMANY

Postal or zip code of mailing
address:: 85614

Applicant Information

Applicant authority type:: Inventor

Primary citizenship country:: GERMANY

Status: Full capacity

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Middle name::

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Fax number:: 703-683-1080

E-mail address:: mail@baconthomas.com

Representative Information

Representative customer number:: 23364

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	an application claiming benefit under 35 USC 119(e)		
This application	National stage of	PCT/EP2004/011131	10/05/2004
This application	Continuation of		

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority claimed::
GERMANY	103 46 685.1	10/08/2003	YES

Assignee Information

Assignee name::